



BUILD YOUR AI INVESTING ASSISTANT

Free Starter Prompt & Verification Checklist

A guided setup interview, reusable investing profile, research prompt, verification checklist, and organization system for ChatGPT, Claude, or another capable AI assistant.

THIS IS NOT A PROMPT THAT TELLS YOU WHAT TO BUY.
It is a framework for teaching an AI assistant how you invest - and for checking its work before you risk real money.

ONE REAL PROBLEM. ONE FINISHED RESULT. NO GURU NONSENSE.

Educational use only. Not financial, investment, tax, or legal advice.

What This Starter Kit Covers

You are not training a new AI model. You are giving an existing assistant a clear, reusable description of your goals, strategies, risk limits, decision rules, and verification standards.

- 1** Guided setup interview
The AI asks one question at a time and learns how you actually invest.
- 2** Portable investing profile
The AI creates a saved profile you can correct, update, and move between platforms.
- 3** Company and trade-plan prompt
A reusable request for research, strategy comparison, management, and invalidation.
- 4** Human verification checklist
A required audit of facts, dates, charts, option chains, events, and risk.
- 5** Organization system
A simple structure that keeps research, trade plans, journals, and builds from becoming a mess.
- 6** Final human authority
The AI can organize and challenge. You verify and decide.

**AI IDEA → CURRENT SOURCES → CHART → ACTUAL TRADE → PERSONAL RISK →
HUMAN DECISION**

A prompt is not a truth serum. Telling an AI not to guess does not make mistakes impossible. Your protection comes from checking sources, dates, numbers, charts, live trade data, and personal risk.

How to Use This Kit

Start with a new dedicated investing workspace or project in ChatGPT, Claude, or another capable AI. Then follow these steps in order.

- 1** Paste the Guided Setup Prompt
Let the assistant interview you. Answer honestly. 'I don't know' is an acceptable answer.
- 2** Correct the Profile
Do not approve vague, invented, or misunderstood rules. Make the assistant revise them.
- 3** Save the Confirmed Profile
Keep your own copy. AI memory varies between products, projects, and conversations.
- 4** Request Research
Use the Company & Trade Plan Prompt with current charts and trade data when possible.
- 5** Run the Verification Checklist
Open the important sources and confirm every decision-critical claim.
- 6** Make Your Own Decision
A valid result may be a trade, a conditional plan, waiting, or no trade.

Do not paste account numbers, login credentials, API keys, addresses, full account balances, buying power, private customer information, or anything else you would not want exposed. Percentages, portfolio ranges, and one-contract educational examples are usually enough.

Copy/Paste Prompt: Guided Setup Interview

Copy everything from START through END into your AI assistant. The assistant should interview you before it analyzes any company or proposes any trade.

START OF GUIDED SETUP PROMPT

You are going to help me build a reusable AI Investing Profile based on how I actually invest. This profile must guide future research and trade-plan discussions, but it must never replace my own judgment.

Begin by explaining that you will conduct a guided interview that may take about 10 minutes, depending on my answers.

INTERVIEW RULES

1. Ask only one question at a time.
2. Wait for my answer before continuing.
3. Adapt later questions to what I tell you.
4. Use plain English and explain unfamiliar terms briefly.
5. Let me answer "I don't know." Help me think through the issue without silently deciding for me.
6. Identify conflicting answers, unclear limits, or missing rules.
7. Never invent a preference, risk limit, strategy, or number.
8. If I do not use options, skip detailed options questions.
9. Do not ask for account numbers, login information, exact balances, contract counts, or other sensitive information.
10. Treat every answer as editable. I may correct or change my rules later.

INTERVIEW TOPICS

Work through these areas naturally, one question at a time:

A. GOALS AND TIME HORIZON

- My main goals: growth, income, capital preservation, speculation, or a combination.
- When I may need the money.
- What a realistic successful year means to me.
- Whether different accounts or strategy sleeves have different jobs.

B. EXPERIENCE AND STYLE

- My experience level.
- Whether I am mainly an investor, swing trader, day trader, or a combination.
- How often I want to make decisions.
- The types of companies, funds, or assets I prefer or avoid.
- Whether I use fundamentals, technical analysis, or both.

C. APPROVED AND PROHIBITED STRATEGIES

- Buying shares or ETFs.
- Cash-secured puts, covered calls, buy-writes, credit spreads, debit spreads, LEAPS, calendars, iron condors, futures, leveraged ETFs, or other strategies.
- Which strategies I genuinely understand.
- Which strategies you must never suggest.
- Whether assignment is acceptable and under what conditions.

Guided Setup Interview - Continued

GUIDED SETUP PROMPT - CONTINUED

D. RISK AND POSITION SIZING

- My ideal and maximum position size.
- My maximum capital commitment per company or thesis.
- My maximum acceptable loss and what "risk" means in that answer.
- My concentration limits.
- Whether and how I use margin.
- What would make me reduce risk or stop trading temporarily.

E. ENTRY, EXIT, AND EVENT RULES

- My preferred entry conditions.
- Whether I scale into positions.
- My profit-taking, stop, rolling, repair, and time-stop rules.
- How I define thesis invalidation.
- Whether I avoid earnings, CPI, FOMC, OPEX, clinical data, regulatory decisions, or other events.

F. FUNDAMENTAL STANDARDS

- Revenue, EPS, margins, cash flow, balance sheet, moat, management, capital allocation, valuation, and any other required tests.
- When a standard framework does not fit, such as early-stage biotech or pre-revenue companies.
- Which metrics matter most to me and which should never be forced.

G. TECHNICAL PROCESS

- Trend, support/resistance, moving averages, volume, volume profile, RSI, MACD, Bollinger Bands, price structure, gaps, Fibonacci levels, or other tools I use.
- What counts as confirmation.
- Which time frames matter.
- Whether my marked levels are inputs to evaluate, not unquestionable facts.

H. RESEARCH AND VERIFICATION

- Preferred primary sources.
- How current the data must be.
- How confirmed facts, estimates, interpretations, stale data, conflicts, and missing evidence should be labeled.
- What must be verified before an idea reaches me.

I. COMMUNICATION AND OUTPUT

- Beginner, intermediate, or advanced explanations.
- Short answers, detailed reports, tables, checklists, or PDFs.
- Terms that should always be explained.
- How strongly you should challenge me.
- What information must appear in every company or trade analysis.

Guided Setup Interview - Profile Output

GUIDED SETUP PROMPT - PROFILE OUTPUT / END

After the interview, produce a document titled:

MY AI INVESTING PROFILE - VERSION 1.0

Include:

- Primary goals
- Time horizon
- Experience level
- Preferred investments
- Approved strategies
- Prohibited strategies
- Fundamental requirements
- Technical process
- Position-sizing rules
- Maximum-risk rules
- Event restrictions
- Entry preferences
- Exit and profit-management rules
- Thesis-invalidation requirements
- Research and sourcing standards
- Preferred response format
- Missing decisions that still require answers

Then ask me exactly:

"Does this accurately reflect how you invest? Tell me anything that is wrong, incomplete, or too vague. I will revise the profile before we use it."

Do not analyze a company or construct a trade plan until I confirm the profile.

The final authority always belongs to me.

END OF PROMPT

What the Finished Profile Should Contain

The assistant should return a concise but complete profile. Correct it until it describes your real process - not the process the AI thinks you should have.

PROFILE FIELD	YOUR RULE
Goals and time horizon	What each account or strategy sleeve is intended to accomplish.
Approved strategies	Only strategies you understand and are willing to use.
Prohibited strategies	Structures or risk types the assistant must not suggest.
Company-quality rules	Your fundamental requirements and exceptions.
Valuation framework	How you judge what the market is charging relative to quality and growth.
Technical confirmation	Indicators, time frames, levels, and confirmation requirements.
Sizing and concentration	Normal size, maximum size, margin limits, and correlated exposure.
Management	Profit targets, stops, rolls, scaling, repairs, and time-based exits.
Event restrictions	Earnings, economic releases, regulatory events, and other risks.
Verification standard	Required sources, timestamps, conflict labels, and missing-data rules.
Communication style	Depth, jargon level, tables, reports, and challenge level.

Save the confirmed profile outside the chat. If the assistant forgets, a project breaks, or you change platforms, paste the profile into the new workspace and continue.

Copy/Paste Prompt: Company & Trade Plan

Use this only after confirming your investing profile. Replace the bracketed fields and attach current charts or trade data when relevant.

START OF COMPANY & TRADE PLAN PROMPT

Using my confirmed AI Investing Profile, build a current, comprehensive investment snapshot and trade plan for [COMPANY / TICKER].

RESEARCH STANDARD

- Verify public claims using the newest reliable primary sources available.
- Confirm the publication date and reporting period for every important figure.
- Separate GAAP from adjusted or non-GAAP figures.
- Separate confirmed facts, company guidance, third-party estimates, calculations, interpretations, and opinions.
- Clearly identify stale, conflicting, estimated, incomplete, or unverifiable information.
- Never invent a missing number.
- Cite the decision-critical sources.

ANALYSIS

- Explain what the company does, who pays it, how it makes money, and why customers choose it.
- Apply the fundamental framework in my profile. Explain when a metric is not meaningful instead of forcing it.
- Evaluate growth, profitability, cash flow, balance sheet, moat, management, capital allocation, valuation, catalysts, and risks.
- Calculate the Rule of 40 when relevant, and show which margin definition is used.
- Evaluate the chart independently. Treat my marked levels as important inputs, not unquestionable facts.
- Identify trend, support, resistance, momentum, volume, and the confirmation required for entry.

Company & Trade Plan Prompt - Continued

COMPANY & TRADE PLAN PROMPT - END

STRATEGY COMPARISON

Compare every approach that reasonably fits my profile, including shares, cash-secured puts, covered calls, buy-writes, LEAPS, debit or credit spreads, waiting, and no trade. Do not force a trade.

For every proposed trade, include:

- Exact structure and expiration
- Entry condition
- Conservative price or premium assumption
- Break-even
- Maximum profit and maximum loss when defined
- Capital required or buying-power consideration
- Annualized return on cash-secured capital when relevant
- Liquidity, bid-ask spread, volume, open interest, IV, and Greeks when available
- Earnings, CPI, FOMC, OPEX, or other event risk
- Position-size and concentration check
- Profit target and management plan
- Technical and fundamental invalidation
- What would improve or worsen the trade

GRADES AND CONCLUSION

Grade the company, valuation, and current trade setup separately. Explain each grade. Finish with one clear state: READY, CONDITIONAL, WAIT, WATCHLIST, INCOMPLETE, or REJECT.

End with the next exact checkpoint. The final decision remains mine.

Verification Checklist - Facts & Sources

Before risking money, verify the claims that can change the decision. Do not spend an hour checking decorative details while ignoring the three numbers that drive the thesis.

SECURITY AND MARKET DATA

- ☐ Correct company, ticker, exchange, security type, and option multiplier.
- ☐ Current price, quote timestamp, market session, and data source.
- ☐ Market capitalization and the share-count method used.
- ☐ Any split, merger, offering, special dividend, index change, or corporate action.

FINANCIAL REPORTING

- ☐ Latest earnings release, SEC filing, and reporting period.
- ☐ Revenue, EPS, margins, cash flow, cash, debt, and share count match the source.
- ☐ GAAP and adjusted figures are labeled and not mixed in one calculation.
- ☐ Company guidance is separated from analyst estimates and AI inference.
- ☐ Year-over-year and sequential comparisons use the correct periods.
- ☐ Rule of 40 calculation identifies the growth rate and margin definition.
- ☐ Stock-based compensation, dilution, customer concentration, and contract-quality risks are reviewed.

SOURCE QUALITY

- ☐ Primary sources were used when available: SEC filings, company IR, regulators, exchanges, or official economic agencies.
- ☐ Every time-sensitive claim has a date or data-through label.
- ☐ Conflicting numbers are disclosed rather than silently choosing the preferred one.
- ☐ Any unverifiable claim is removed or clearly labeled.

Verification Checklist - Chart, Trade & Risk

CHART

- ☐ Daily and weekly trend checked independently.
- ☐ Support and resistance are treated as zones, not concrete floors or ceilings.
- ☐ Moving averages, volume, volume profile, momentum, gaps, and volatility agree with the stated setup.
- ☐ The entry has actual confirmation; one attractive level by itself is not confirmation.
- ☐ The technical invalidation is defined before entry.

OPTION CHAIN OR TRADE TICKET

- ☐ Correct expiration, strike, option type, and days to expiration.
- ☐ Bid, ask, midpoint, delta, IV, volume, open interest, and spread checked live.
- ☐ Calculations use a realistic limit price - not an optimistic stale mark.
- ☐ Break-even, maximum loss, assignment obligation, and capital required are understood.
- ☐ Earnings, CPI, FOMC, OPEX, clinical, regulatory, or company-specific events are mapped.
- ☐ Liquidity is sufficient to enter, manage, roll, or exit without unreasonable slippage.

PERSONAL FIT

- ☐ The position fits your confirmed sizing and concentration limits.
- ☐ Existing shares, LEAPS, spreads, leveraged products, and correlated positions are counted together.
- ☐ You genuinely want assignment if selling a cash-secured put.
- ☐ A covered call strike is acceptable and consistent with your basis and upside expectations.
- ☐ The management plan, profit target, stop or repair point, and thesis invalidation are written down.
- ☐ You can explain the trade in plain English without the AI.

Required Output Template

Require this structure for every serious company or trade-plan report. A great company can still be a poor trade at today's price.

PROFILE FIELD	YOUR RULE
Ticker / subject	Correct security and the purpose of the report.
Created / data through	Creation date, quote time, and reporting periods.
What the company does	Plain-English business explanation.
Company grade	Quality of the underlying business.
Valuation grade	What the market is charging relative to growth and quality.
Trade grade	Quality of today's actual setup.
Catalysts and risks	Dated events plus structural bull and bear factors.
Technical map	Trend, entry zone, support, resistance, confirmation, invalidation.
Strategy comparison	Shares, CSP, buy-write, covered call, LEAPS, spreads, wait, no trade.
Preferred approach	One clearly ranked choice, if any.
Entry condition	The exact price, premium, event, or confirmation required.
Management	Profit target, time stop, roll/repair rules, and exit plan.
Position constraint	Capital, concentration, margin, and correlated exposure.
Invalidation	What proves the chart or business thesis wrong.
Current state	READY, CONDITIONAL, WAIT, WATCHLIST, INCOMPLETE, or REJECT.
Next checkpoint	The next date, level, filing, event, or data needed.

Organize It Before It Becomes a Mess

Use one dedicated investing workspace and keep a durable copy of every important output outside the conversation.

WORKSPACE SECTION	WHAT BELONGS THERE
START HERE - My Investing Profile	The current confirmed profile, change log, and unresolved rules.
COMPANY RESEARCH	One conversation or file per company; facts, sources, grades, and thesis.
TRADE PLANS	Actionable or conditional setups only; include entry, management, and invalidation.
TRADE JOURNAL	Permanent source of truth for entries, exits, rolls, lessons, and realized results.
SYSTEM BUILDS	Scanners, signals, dashboards, alerts, tests, and reliability work.
ARCHIVE	Closed, rejected, stale, or replaced research and plans.

NAMING EXAMPLE

PLTR - COMPANY RESEARCH - 2026-09-15 - V1

Every saved item should identify: subject, purpose, creation date, data-through date, version, current status, next review date, and sources used.

Red Flags: Stop and Verify

Pause the process when the assistant does any of the following:

- ! Quotes a number without identifying the source or reporting period.
- ! Uses a stale share price, old option chain, or estimated earnings date as current fact.
- ! Mixes GAAP and adjusted figures to make performance look better.
- ! Treats company guidance, analyst estimates, and confirmed results as interchangeable.
- ! Agrees with every chart level or conclusion merely because you supplied it.
- ! Recommends a trade without maximum loss, management, or invalidation.
- ! Annualizes a small short-term premium without explaining assignment or event risk.
- ! Assumes your account size, risk tolerance, buying power, or strategy knowledge.
- ! Promises accuracy, certainty, guaranteed returns, or a trade that cannot lose.
- ! Forces a trade because the research needs an exciting ending.

PRIVACY CHECK

- ☐ Balances, buying power, account names, contract counts, addresses, and login information are hidden.
- ☐ Screenshots are cropped to remove private tabs, notifications, customer data, and order history.
- ☐ Public examples use percentages or one-contract educational math when practical.
- ☐ Anything shared publicly is reviewed once more before uploading.

Quick Test Prompt

After your profile is confirmed, this shorter prompt is enough to test whether the assistant actually learned your process.

QUICK TEST PROMPT

Using my confirmed investing profile, analyze [TICKER] as it exists today.

Verify current information with primary sources. Apply my fundamental and technical rules. Grade the company, valuation, and trade setup separately. Compare the strategies that fit me, including waiting or no trade. Identify missing, stale, conflicting, estimated, or unverifiable information. Include entry conditions, management, position constraints, event risk, and technical and fundamental invalidation.

Do not force a trade. Challenge my assumptions when the evidence disagrees. Finish with one clear current state and the next checkpoint.

I will verify the work and make the final decision.

AI PROPOSES. YOU DECIDE.

This guide does not provide trade recommendations. It helps you organize research and build a process that reflects your own goals, experience, strategies, and risk limits.

**Watch the full Palantir live test and find future free resources at
PracticalIncomeInvesting.com**

Educational content only. Nothing in this guide is financial, investment, tax, or legal advice. Investing and options involve risk, including the possible loss of principal. Verify all information independently and consult qualified professionals when appropriate.